

OCTOBER 24, 2013
PENSION ADMINISTRATION
AGENDA ITEM XI

CITY OF HARTFORD
PENSION COMMISSION

MEMORANDUM

To: Pension Commission

From: Donna D. Parker, Plan Administrator *Donna*

Date: October 24, 2013

Re: **Report on Section 457 Deferred Compensation Quarterly Status**

Attached to this memo is a copy of the summary pages of the quarterly report provided by Mass Mutual for the Section 457 Deferred Compensation Plan and the Expense Reimbursement Account for the quarter ending on September 30, 2013.

I have provided this summary information at the request of the Commission and would be happy to provide the full report upon request.

CITY OF HARTFORD
PLAN SUMMARY STATEMENT

PAGE 1 OF 13

JULY 1, 2013 - SEPTEMBER 30, 2013



FOR QUESTIONS ABOUT YOUR STATEMENT AND ANY TRANSACTIONS, PLEASE CALL: 1-800-528-9009

Call Us! Contact our Customer Service Center at the number listed above Monday through Friday 8:00 am - 8:00 pm ET.
Write Us! MassMutual Retirement Services; P.O. Box 1583; Hartford, CT 06144-1583. Be sure to include the plan's group number in your correspondence.
Connect with Us! Through our website at <http://www.massmutual.com/govnp>.

City of Hartford 000411
 Donna Parker
 Pension Administration Unit
 550 Main Street
 Hartford CT 06103

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City of Hartford
 Pension Department

GROUP NUMBER: 0150026
 STATEMENTS GENERATED: 1644

PERIOD SUMMARY
 July 1, 2013 to September 30, 2013

HISTORICAL SUMMARY
 Since Established September 13, 2002

BEGINNING BALANCE	\$84,561,243.76	
CONTRIBUTIONS	\$1,705,668.64	\$92,478,771.30
NET LOAN ACTIVITY	\$186,459.93(-)	
NET WITHDRAWALS	\$889,441.97(-)	\$44,411,390.37(-)
PLAN AND CONTRACT FEES*	\$9,791.50(-)	
CHANGE IN VALUE	\$4,659,545.43	
ENDING BALANCE 09/30/2013	\$89,840,764.43	
OUTSTANDING LOAN BALANCE++	\$3,573,628.17	

PLAN INVESTMENT DISTRIBUTION AS OF SEPTEMBER 30, 2013

42.4% GENERAL	17.0% HTFD CAP APP HLS	5.6% ARTISAN MID CAP
4.7% LA DEVELOPING GROWTH	3.9% BLACKROCK CAP APP	3.3% TRP GROWTH STOCK
3.2% VANGUARD TGT RET2025	3.0% AMCENT SC VALUE	2.8% VANGUARD 500 INDEX
2.6% MUTUAL GLOBAL DISC	2.1% INVESCO GR AND INC	1.8% HTFD VALUE HLS
1.4% HTFD INT OPPS HLS	1.1% PIMCO TOTAL RETURN	1.0% AF EURO PACIFIC GRTH
0.9% VANGUARD SC INDEX	0.4% AMCENT INFL ADJ BND	0.4% METRO WEST HY BOND

* Plan and Contract Fees may include items such as an annual maintenance fee, per participant charge, deferred sales charge and other charges authorized by your plan. Other charges may be deducted from your account and may or may not be reflected here, including charges assessed under the contract, its separate accounts or by the underlying funds. For a complete description of all charges that may apply, refer to the prospectus or disclosure materials, whichever is applicable, for your retirement program and its related contract. Additional plan expenses and other expenses other than those described above such as Third Party Administrator fees, are deducted and paid as directed by your Plan Administrator, if applicable. This statement reflects transactions received by the company prior to the period ending date. Additional transactions may be in transit and will be reflected in your next statement.

++Total Account Balance is the sum of the Ending Balance and the Outstanding Loan Balance.

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CITY OF HARTFORD
PLAN SUMMARY STATEMENT

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JULY 1, 2013 - SEPTEMBER 30, 2013



GROUP NUMBER: 0150026

PLAN INVESTMENT DISTRIBUTION AS OF SEPTEMBER 30, 2013

0.4% VANGUARD MC INDEX	0.4% OPPENHEIMER DEV MKTS	0.3% LA VALUE OPP
0.3% VANGUARD TGT RET2015	0.3% RIDGEWORTH SC VAL EQ	0.3% RIDGEWORTH MC VAL EQ
0.1% VANGUARD TGT RET2035	0.1% VANGUARD TGT RET2045	0.1% FRKLN US GOVT SEC
0.1% VANGUARD TAR RET INC	0.0% DREY BOND MRKT INDEX	

INVESTMENT ACTIVITY

	Beginning Balance July 1, 2013	Additions	Subtractions	Change In Value	Ending Balance September 30, 2013
General	\$36,123,548.18	\$2,778,422.00	\$1,221,876.53(-)	\$366,695.66	\$38,046,789.31
Hlfd Cap App HLS	\$14,314,723.97	\$314,677.11	\$518,574.97(-)	\$1,160,066.67	\$15,270,892.78
Artisan Mid Cap	\$4,396,110.36	\$185,459.42	\$266,837.88(-)	\$682,892.93	\$4,997,624.83
LA Developing Growth	\$3,299,212.55	\$381,379.94	\$103,401.96(-)	\$643,020.15	\$4,220,210.68
BlackRock Cap App	\$3,491,295.47	\$95,223.76	\$427,977.80(-)	\$343,131.54	\$3,501,672.97
TRP Growth Stock	\$2,522,966.21	\$359,621.86	\$237,086.28(-)	\$303,284.81	\$2,948,786.60
Vanguard Tgt Ret2025	\$2,761,307.10	\$38,078.49	\$83,896.60(-)	\$148,862.65	\$2,864,351.64
AmCent SC Value	\$2,628,150.92	\$152,156.75	\$284,696.26(-)	\$186,572.67	\$2,682,184.08
Vanguard 500 Index	\$2,346,591.79	\$160,042.83	\$71,879.29(-)	\$123,345.92	\$2,558,101.25
Mutual Global Disc	\$2,262,077.60	\$67,960.39	\$106,324.00(-)	\$129,462.85	\$2,353,176.84
Invesco Gr and Inc	\$1,776,042.30	\$239,890.96	\$239,026.99(-)	\$83,857.95	\$1,860,764.22
Hlfd Value HLS	\$1,524,376.23	\$137,259.73	\$107,143.97(-)	\$65,600.87	\$1,620,092.86
Hlfd Int Opps HLS	\$1,127,780.37	\$35,145.32	\$33,237.97(-)	\$109,250.60	\$1,238,938.32
PIMCO Total Return	\$1,215,453.56	\$34,967.08	\$278,656.38(-)	\$9,241.15	\$981,005.41
AF EuroPacific Grth	\$886,647.74	\$41,078.76	\$85,450.45(-)	\$81,285.57	\$923,561.62
Vanguard SC Index	\$748,423.74	\$52,332.67	\$93,750.06(-)	\$66,065.89	\$773,072.24
AmCent Infl Adj Bnd	\$544,500.12	\$19,587.00	\$163,995.02(-)	\$3,508.14	\$403,600.24
Metro West HY Bond	\$449,181.89	\$26,612.66	\$86,895.08(-)	\$9,279.12	\$398,178.59
Vanguard MC Index	\$357,259.74	\$60,924.16	\$55,920.16(-)	\$26,015.69	\$388,279.43
Oppenheimer Dev Mkts	\$357,055.07	\$25,642.32	\$30,407.93(-)	\$31,090.72	\$383,380.18
LA Value Opp	\$288,794.49	\$9,925.03	\$12,256.25(-)	\$18,757.01	\$305,220.28
Vanguard Tgt Ret2015	\$244,165.85	\$16,647.78	\$8,377.43(-)	\$10,401.83	\$262,838.03
RidgeWorth SC Val Eq	\$269,130.71	\$28,337.34	\$70,031.74(-)	\$24,407.94	\$251,844.25
RidgeWorth MC Val Eq	\$240,033.02	\$28,215.11	\$34,760.66(-)	\$16,769.27	\$250,256.74
Vanguard Tgt Ret2035	\$125,598.58	\$5,360.37	\$19,858.75(-)	\$8,010.26	\$119,110.46
Vanguard Tgt Ret2045	\$99,947.43	\$3,219.40	\$12,513.94(-)	\$6,394.21	\$97,047.10
Frkin US Govt Sec	\$96,309.00	\$1,675.10	\$12,948.79(-)	\$715.94	\$85,751.25
Vanguard Tar Ret Inc	\$63,854.43	\$288.34	\$12,459.29(-)	\$1,550.10	\$53,233.58
Drey Bond Mrkt Index	\$705.34	\$578.56	\$492.57(-)	\$7.32	\$798.65
Account Total	\$84,561,243.76	\$5,300,710.24	\$4,680,735.00(-)	\$4,659,545.43	\$89,840,764.43

Investment Activity This section highlights the activity by investment option in the plan's account.

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CITY OF HARTFORD
PLAN SUMMARY STATEMENT
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 JULY 1, 2013 - SEPTEMBER 30, 2013



GROUP NUMBER: 0150026

PERFORMANCE

INVESTMENT CHOICES FOR PERIOD ENDING SEPTEMBER 30, 2013

1 Investments in foreign securities may involve different and additional risks associated with foreign currencies, investment disclosure, accounting, securities regulation, commissions, taxes, political or social instability, war or expropriation.

2 Small Cap stocks generally have higher risk and return characteristics than large-company stocks.

3 Mid Cap stocks generally have higher risk and return characteristics than large-company stocks.

4 Securities rated below investment grade "BBB" are commonly referred to as "high yield, high risk" securities, or "junk bonds."

	Month	Total Return			Average Annual Total Return*			Since Incept.	Inception Date
		3 Mo.	YTD	1 Yr.	3 Yr.	5 Yr.	10 Yr.		
INTERNATIONAL/GLOBAL									
143 AF EuroPacific Grth ¹	6.86%	9.45%	11.64%	17.84%	6.71%	7.14%	9.55%	N/A	04/16/1984
13 Hfd Int Opps HLS ¹	6.57%	9.70%	12.94%	20.12%	7.83%	8.12%	9.87%	N/A	07/02/1990
420 Mutual Global Disc ¹	2.54%	5.73%	16.58%	19.39%	10.50%	8.58%	10.29%	N/A	12/31/1992
410 Oppenheimer Dev Mkts ¹	8.72%	8.82%	4.56%	9.26%	4.12%	11.43%	16.94%	N/A	11/18/1996
SMALL CAP									
483 AmCent SC Value ²	5.09%	7.17%	21.95%	26.86%	15.06%	12.49%	10.90%	N/A	07/31/1998
727 LA Developing Growth ²	9.49%	18.78%	48.29%	42.08%	23.74%	18.20%	12.82%	N/A	10/10/1973
1027 RidgeWorth SC Val Eq ²	6.10%	10.15%	22.87%	30.11%	15.22%	11.90%	11.99%	N/A	01/31/1997
760 Vanguard SC Index ²	5.71%	9.19%	26.63%	30.15%	18.98%	13.26%	N/A	7.48%	10/03/1960
MID CAP									
478 Artisan Mid Cap ³	8.34%	15.60%	29.70%	31.53%	19.99%	16.59%	12.01%	N/A	06/27/1997
1063 LA Value Opp	4.42%	6.64%	24.00%	28.50%	14.17%	11.84%	N/A	10.99%	12/30/2005
1026 RidgeWorth MC Val Eq ³	4.37%	7.47%	20.93%	28.09%	15.96%	14.14%	N/A	11.84%	10/27/2003
761 Vanguard MC Index ³	4.54%	7.69%	24.42%	27.95%	17.13%	13.17%	N/A	6.16%	05/21/1998

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CITY OF HARTFORD
PLAN SUMMARY STATEMENT

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JULY 1, 2013 - SEPTEMBER 30, 2013



GROUP NUMBER: 0150026

PERFORMANCE

INVESTMENT CHOICES

FOR PERIOD ENDING SEPTEMBER 30, 2013

	Total Return				Average Annual Total Return*			Since Incept.	Inception Date
	Month	3 Mo.	YTD	1 Yr.	3 Yr.	5 Yr.	10 Yr.		
LARGE CAP									
979 BlackRock Cap App	6.83%	10.24%	19.20%	17.66%	12.04%	9.06%	7.35%	N/A	12/24/1992
012 Hdfd Cap App HLS	4.60%	8.13%	26.41%	31.93%	14.40%	10.77%	10.55%	N/A	04/02/1984
849 Hdfd Value HLS	2.68%	4.37%	21.42%	23.20%	15.86%	9.88%	9.02%	N/A	04/30/2001
167 Invesco Gr and Inc	2.76%	4.69%	22.99%	23.38%	15.43%	9.14%	8.21%	N/A	08/01/1946
824 TRP Growth Stock	6.74%	11.78%	24.10%	22.75%	17.42%	13.13%	8.64%	N/A	12/31/2001
469 Vanguard 500 Index	3.13%	5.24%	19.76%	19.30%	16.23%	10.03%	N/A	5.60%	08/31/1976
ASSET ALLOCATION/BALANCED									
971 Vanguard Tar Ret Inc	2.00%	2.67%	3.38%	4.12%	6.46%	6.83%	N/A	5.54%	10/27/2003
1090 Vanguard Tgt Ret2015	2.91%	4.24%	8.30%	9.56%	9.06%	7.92%	N/A	6.27%	10/27/2003
968 Vanguard Tgt Ret2025 ^{1,2}	3.62%	5.42%	11.70%	13.34%	10.72%	8.39%	N/A	6.53%	10/27/2003
969 Vanguard Tgt Ret2035	4.19%	6.46%	14.69%	16.77%	12.23%	8.87%	N/A	7.03%	10/27/2003
970 Vanguard Tgt Ret2045	4.34%	6.73%	15.60%	17.78%	12.58%	9.09%	N/A	7.45%	10/27/2003
BOND									
605 AmCent Infl Adj Bnd	1.50%	0.74%	-7.19%	-6.69%	3.44%	5.00%	4.86%	N/A	02/10/1997
136 Drey Bond Mrkt Index ⁴	0.91%	0.53%	-2.20%	-2.10%	2.60%	5.08%	4.37%	N/A	11/30/1993
644 Frklin US Govt Sec	1.24%	0.92%	-1.37%	-2.03%	2.41%	4.30%	4.16%	N/A	05/30/1970
864 Metro West HY Bond ⁴	1.15%	2.17%	3.63%	6.32%	7.13%	12.19%	8.19%	N/A	09/30/2002
300 PIMCO Total Return	1.74%	1.07%	-2.17%	-1.13%	3.36%	7.53%	5.66%	N/A	05/11/1987

General (Declared Rate) Account Information:

4th Q 2013: 4.00% 3rd Q 2013: 4.00% 2nd Q 2013: 4.00% 1st Q 2013: 4.00%

The Declared Rate set forth above is credited through the close of the calendar year on contributions received during the designated calendar quarter. For contributions received prior to January 1, 2013, the Declared Rate is 4.00%. Rates quoted are effective annual yields.

BENCHMARK INDICES

FOR PERIOD ENDING SEPTEMBER 30, 2013

	Total Return				Average Annual Total Return		
	Month	3 Mo.	YTD	1 Yr.	3 Yr.	5 Yr.	10 Yr.
S&P 500 Index ¹	3.14%	5.24%	19.79%	19.34%	16.27%	10.02%	7.57%
BarCap Gov/Corp Indx ²	0.75%	0.36%	-2.32%	-1.96%	2.89%	5.71%	4.52%
NASDAQ ³	5.06%	10.82%	24.90%	21.03%	16.77%	12.51%	7.76%

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Personnel Department

1 S&P is an index which measures broad-based changes in stock market conditions based on the average performance of 500 widely held common stocks.

2 The Barclay's Capital Government/Corporate Bond Index, an unmanaged list of U.S. Treasury/Agency and investment grade corporate debt securities, is used as a general measure of performance of fixed income securities.

3 NASDAQ is a computerized system that provides brokers and dealers with price quotations for securities traded over the counter as well as for many NYSE listed securities.

CITY OF HARTFORD
PLAN SUMMARY STATEMENT

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JULY 1, 2013 - SEPTEMBER 30, 2013



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Connect with Us! Through our website at <http://www.massmutual.com/qovnp>.

City of Hartford 001875
Donna Parker
Expense Reimbursement Account
550 Main Street
Hartford CT 06103

GROUP NUMBER: 0752980
STATEMENTS GENERATED: 1

	PERIOD SUMMARY July 1, 2013 to September 30, 2013	HISTORICAL SUMMARY Since Established January 1, 2011
BEGINNING BALANCE	\$52,648.82	
CONTRIBUTIONS	\$0.00	\$0.00
NET TRANSFERS	\$13,883.68	
NET WITHDRAWALS	\$0.00	\$0.00
PLAN AND CONTRACT FEES*	\$0.00	
CHANGE IN VALUE	\$605.19	
ENDING BALANCE 09/30/2013	\$67,137.69	

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PLAN INVESTMENT DISTRIBUTION AS OF SEPTEMBER 30, 2013

100% GENERAL

* Plan and Contract Fees may include items such as an annual maintenance fee, per participant charge, deferred sales charge and other charges authorized by your plan. Other charges may be deducted from your account and may or may not be reflected here, including charges assessed under the contract, its separate accounts or by the underlying funds. For a complete description of all charges that may apply, refer to the prospectus or disclosure materials, whichever is applicable, for your retirement program and its related contract. Additional plan expenses and other expenses other than those described above such as Third Party Administrator fees, are deducted and paid as directed by your Plan Administrator, if applicable. This statement reflects transactions received by the company prior to the period ending date. Additional transactions may be in transit and will be reflected in your next statement.

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